



To,
Listing Compliance,
BSE Limited
Ground Floor,
P. J. Towers, Fort,
Mumbai-400001

SCRIP CODE: 523367

Sub: Update regarding your email dated June 28, 2024

Dear Sir,

This has reference to your email dated June 28, 2024 regarding late submission of the Annual Secretarial Compliance Report for the year ended March 31, 2024 with BSE under Regulation 24A of the SEBI Listing Regulations and imposition of fine of Rs. Rs.30,000 plus applicable GST.

In this regard, as you are aware:

- (a) The Company has paid the aforesaid fine to BSE through cheque bearing no. 014550 dated July 8, 2024, under its letter dated July 9, 2024 (copy of letter and cheque enclosed) which has been received by BSE.
- (b) The Company has submitted a letter dated July 4, 2024 (copy attached) requesting for withdrawal of the entire amount of the said fine, as the Company had duly filed the PDF version of the Annual Secretarial Compliance Report for the year ended March 31, 2024 on May 21, 2024, i.e. within the prescribed timeline and only XBRL filing was inadvertently missed out on BSE, even as the XBRL was duly filed on the other exchange (NSE) on the same day.

It is further submitted for your information that as advised in your email June 28, 2024, the matter has been apprised to the Board of Directors in its meeting held on July 23, 2024 and the Board has taken note of the same.

Kindly take the above information on your records.

Thanking you,

Yours faithfully,
For DCM Shriram Ltd.

(Swati Patil Lahiri)
Acting Company Secretary & Compliance Officer

Dated: July 23, 2024
Encl.: As above

DCM SHRIRAM LTD.

Registered and Corporate Office: 2nd Floor (West Wing), Worldmark 1, Aerocity, New Delhi - 110037, India
Tel: +91 11 42100200 e-mail: response@dcmsriram.com website: www.dcmsriram.com
CIN No. L74899DL1989PLC034923



To,
Listing Compliance,
BSE Limited
Ground Floor,
P. J. Towers, Fort,
Mumbai-400001

Subject: Details of payment of fines for Late-compliance with Regulation of SEBI (LODR) Regulations, 2015

Dear Sirs,

This is with reference to email dated 28-Jun-2024 received from BSE for late submission of Annual Secretarial Compliance Report for the year ended March 31, 2024 under Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We give below the details of fine being paid :

Remittance details:

Scrip Code	Regulation & Quarter	Amount paid (Rs.)	TDS deducted, if any (Rs.)	Net Amount paid (Rs.)	GST No. (Mandatory to upload on BSE Listing Centre) (*)
523367	Regulation 24A(2) for the year ended March 31, 2024	35400.00	600.00	34800.00	07AAACD0097R2Z8

Remitted by:

Cheque/DD No.	Date	UTR No. for RTGS /NEFT
014550	08.07.2024	Not Applicable

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully,
For DCM Shriram Ltd.

SWATI PATIL
LAHIRI

(Swati Patil Lahiri)
Acting Company Secretary & Compliance Officer

Dated: 9th July, 2024

Encl. : As above

DCM SHRIRAM LTD.

Registered and Corporate Office: 2nd Floor (West Wing), Worldmark 1, Aerocity, New Delhi - 110037, India
Tel: +91 11 42100200 e-mail: response@dcmshriram.com website: www.dcmshriram.com
CIN No. L74899DL1989PLC034923



209, 214, KAILASH BUILDING,
26, KASTURBA GANDHI MARG, NEW DELHI - 110001, DELHI
RTGS / NEFT IFSC : HDFC0000003

08072024

Valid for 3 months only

Pay BSE Ltd.

~~Or Bearer~~

या धारक को

Rupees रुपये Thirty Four thousand Eight hundred

only

अदा करें

₹ 34800/-

A/c No.
खाता क्र.

00030310004923

Brn: 0003 Pdt: 031
C/A

Payable at par through clearing/transfer at all branches of HDFC BANK LTD

For CMS_PAY DCM SHRIRAM LTD AC SFC

[Handwritten Signature]

[Handwritten Signature]

Authorised Signatories

Please sign above / कृपया यहाँ हस्ताक्षर करें

⑈0⑆4550⑈ ⑆1024000⑆: 902609⑈ 30



To,
BSE Limited
Phiroze JeeJeeBhoy Towers,
Dalal Street,
Mumbai - 400 001

SCRIP CODE: 523367

Subject: Email dated 28-Jun-2024 received from BSE regarding fine for late submission of Annual Secretarial Compliance Report.

Dear Sirs,

We are in receipt of an email dated 28-Jun-2024 from your good office, regarding fine for late submission of Annual Secretarial Compliance Report ("ASCR") under Regulation 24A for the financial year ended 31-Mar-2024, communicating a fine of Rs.30,000/- plus GST, to be paid within 15 days. (copy of email attached at **Annexure-A**)

In response we have written emails from your side on 28-Jun-2024 (**Annexure-B**) and 2-Jul-2024 (**Annexure-C**), on which revert is awaited. Meanwhile, we submit the following for your kind consideration:

- I. The Company had filed ASCR for the financial year ended 31-Mar-2024 under Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 on both the stock exchanges where its equity shares are listed (BSE and NSE) on **21-May-2024** i.e., well within the prescribed last date of 30-May-2024 i.e. 60 days from the end of the financial year.

Proof(s) of filing with BSE and NSE are attached at **Annexure-D & E** respectively.

- II. On 14-Jun-2024, we received an email from you that XBRL version of ASCR was not filed with BSE (copy of email at **Annexure-F**).

To the best of our knowledge and belief, we had filed both PDF and XBRL versions on both the stock exchanges on 21-May-2024 itself. However, upon receipt of your email, we checked our records and found that XBRL version could not be successfully filed on BSE due a technical glitch at our end.

On realizing the inadvertent error, we immediately filed the XBRL version on BSE portal and also emailed the filing proof to you on 14-Jun-2024. Copy of our email and XBRL filing proof is attached at **Annexure-G**.

- III. **It may kindly be noted that all other compliances w.r.t. ASCR for financial year ended 31-Mar-2024, including PDF filing on BSE, PDF and XBRL filing on NSE and uploading of ASCR on the Company website etc., were duly completed on 21-May-2024 itself, which is within the prescribed timeline. Only XBRL filing on BSE was missed out inadvertently, which was also filed on 14-Jun-2024, within few hours of receiving the email from exchange.**

DCM SHRIRAM LTD.

Registered and Corporate Office: 2nd Floor (West Wing), Worldmark 1, Aerocity, New Delhi - 110037, India
Tel: +91 11 42100200 e-mail: response@dcmshriram.com website: www.dcmshriram.com
CIN No. L74899DL1989PLC034923



- IV. To the best of our understanding, this inadvertent miss-out in XBRL filing does not impact the interests of the investors at large, as the PDF version was available publicly from 21-May-2024 onwards.

We may add that this particular filing was done during transition of charge from the erstwhile Company Secretary to the new Company Secretary.

Therefore, we submit that this was a bona-fide error, which took place unintentionally, despite careful efforts on part of the Company.

- V. Your records would show that the Company is always prompt and compliant in all its regulatory filings. We earnestly hope that no fine should be levied on us by your esteemed organization, for an inadvertent error of this nature.

In view of the above and given that PDF version of ASCR was filed with BSE within time, we request you to kindly withdraw the entire fine of Rs.30,000/- plus GST, as communicated vide your email dated 28-Jun-2024. We also assure you that we will be more cautious in XBRL filings in future.

Kindly acknowledge and accept the request made in this letter.

We shall be glad to provide you any further clarity or assistance in this matter.

Thanking you,

Yours faithfully,

For DCM Shriram Ltd.

SWATI PATIL
LAHIRI

Digitally signed by
SWATI PATIL LAHIRI
Date: 2024.07.04
11:10:23 +05'30'

(Swati Patil Lahiri)

Acting Company Secretary & Compliance Officer

Dated: July 4, 2024

DCM SHRIRAM LTD.

Registered and Corporate Office: 2nd Floor (West Wing), Worldmark 1, Aerocity, New Delhi - 110037, India
Tel: +91 11 42100200 e-mail: response@dcmshriram.com website: www.dcmshriram.com
CIN No. L74899DL1989PLC034923



Swati Patil Lahiri <swatilahiri@dcmshriram.com>

523367-Fines as per SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance).

1 message

Sonali Kurhade <Sonali.Kurhade@bseindia.com>

To: "sameetgambhir@dcmshriram.com" <sameetgambhir@dcmshriram.com>, "swatilahiri@dcmshriram.com" <swatilahiri@dcmshriram.com>

Cc: "bse.soplodr" <bse.soplodr@bseindia.com>, Jaikishan Pahlani <jaikishan.pahlani@bseindia.com>

Ref.: SOP-CReview- 28.06.2024

To

The Company Secretary/Compliance Officer

Company Name: DCM Shriram Ltd

Scrip Code: 523367

Dear Sir/Madam,

Sub: Fines as per SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance).

The company is advised to refer to the Master Circular No. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance), issued by Secy (SEBI) with respect to penal actions prescribed for non-compliance of certain provisions of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Standard OI and revocation of trading of specified securities of listed entities.

The Exchange had also issued a guidance note regarding the provisions of the said SEBI circular which is disseminated on the Exchange website at the following link:

https://www.bseindia.com/downloads1/Guidance_Note_for_SEBI_SOP_Circular.pdf

In this regard it is observed that the company is non-compliant/late compliant with the following Regulations for the period mentioned below:

Applicable Regulation of SEBI (LODR) Regulations, 2015	Fine prescribed (*)	Fines levied for	Fine payable by the company (inclusive of GST @ 18 %) as on June 28,2024			Compliance status
			Basic Fine	GST @ 18 %	Total Fine payable	
Regulation 33 Non-submission of the financial results within the period prescribed under this regulation	Rs. 5,000/- per day till the date of compliance.	(March 2024 quarter/year)	0	0	0	
Regulation 23 (9) Non-compliance with disclosure of related party transactions on consolidated basis.	Rs. 5,000/- per day till the date of compliance.	For the half year ended March 2024	0	0	0	
Regulation 24A Non-compliance with submission of secretarial compliance report	Rs. 2,000/- per day till the date of compliance.	(For the year ended March 2024)	30000	5400	35400	Late Submission
		Total Fine	30000	5400	35400	

(*)As per the provisions of the circular the fines will continue to be computed further till the time of rectification of the non-compliance to the satisfaction of the Exchange or suspended from trading for non-compliance with aforesaid provisions.

The Company is therefore advised to note that as per the provisions of this circular:

- The company is required to ensure compliance with above regulation and ensure to pay the aforesaid fines including GST within 15 days from the date of this letter/email, **failing the provisions of the aforesaid circular, initiate action related to freezing of the entire shareholding of the promoter in this entity as well as all other securities held in the d** company is advised to bring the provisions of this Circular to the notice of promoter of the company.
- Further in the event of this being the second consecutive quarter of non-compliance for the Regulation 33 would result in the company being transferred to Z group and liable fo shares.
- The company is also advised to ensure that the subject matter of non-compliance which has been identified and indicated by the Exchange and any subsequent action taken by placed before the Board of Directors of the company in its next meeting. Comments made by the board shall be duly informed to the Exchange for dissemination.

(A signed scanned copy of the financial results along with the covering letter can be uploaded on the following link of Listing Centre: <http://listing.bseindia.com>. For assistance in login contact helpdesk on Tel. No. 022-61363155 or email id: listing.centre@bseindia.com.

Kindly refer to Exchange notice No. 20210331-2 dated March 31, 2021 for mode of filing of Annual Secretarial Compliance Report.

In case of any further queries / clarifications please email at the following ids:

Regulation	Contact person	Contact Number	Email Id
Reg. 33/23(9)	Mr. Harshad Naik	022-22725650	Harshad.Naik@bseindia.com bse.soplodr@bseindia.com
Reg.24(A)	Mr. Jaikishan Pahlani	022-22728475	jaikishan.pahlani@bseindia.com

Yours faithfully

Sambhaji Solat
Senior Manager
Listing Compliance

Sonali Kurhade
Associate Manager
Listing Compliance

Company is requested to remit the fine amount through electronic transfer to the designated bank - details given below:

Company Name	DCM Shriram Ltd		
Bank Name	Branch Name	Account No.	IFSC Code
ICICI Bank Limited	CMS Branch	BSER00706	ICIC0000104

or through cheque favoring **BSE Ltd.** The company is required to submit the cheque alongwith the covering letter (format given at Annexure I below):

Annexure-I (On letterhead of the company)

Listing Compliance, BSE Limited, Ground Floor,
P. J. Towers, Fort, Mumbai-400001.

Sub: Details of Payment of fines for Non-Compliance with Regulations of SEBI (LODR) Regulations, 2015.

Remittance details:

Scrip Code	Regulation & Quarter	Amount paid	TDS deducted, if any	Net Amount paid	GST No. (Mandatory to upload on BSE Listing Centre) (*)

***(In case GSTin No of company is not uploaded on the Listing Centre or Declaration for Unregistered Dealer is not received by us, company can not claim invoices raised by us.)**

-

Remitted by:

Cheque/DD No.	Date	UTR No. for RTGS /NEFT
-	-	-

Compliance Officer / Company Secretary.

- Please mention the Regulation No., Quarter, and amount of TDS deducted on the reverse side of the Cheque/Demand Draft.
- In case of payment through RTGS/NEFT, you are requested to send a soft copy of this annexure to bse.soplodr@bseindia.com

Thanks and Regards,

Sonali Nikesh Kurhade

Associate Manager – Listing Compliance

BSE Limited, P J Towers, Dalal Street, Mumbai -400001, India

T:22728063, www.bseindia.com



This mail is classified as 'BSE - PUBLIC' by sonali.kurhade on June 28, 2024 at 18:43:46.

DISCLAIMER : The contents of this message may be legally privileged and confidential and are for the use of the intended recipient(s) only. It should not be read, copied and used by anyone. If you have received this message in error, please immediately notify the sender, preserve its confidentiality and delete it. Before opening any attachments please check them for viruses and defects. If this message may be legally privileged and confidential and are for the use of the intended recipient(s) only. It should not be read, copied and used by anyone other than the intended recipient. If you have received this message in error, please immediately notify the sender, preserve its confidentiality and delete it. Before opening any attachments please check them for viruses and defects.



Swati Patil Lahiri <swatilahiri@dcmshriram.com>

Re: 523367-Fines as per SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance).

1 message

Swati Patil Lahiri <swatilahiri@dcmshriram.com>

To: Sonali Kurhade <Sonali.Kurhade@bseindia.com>

Cc: "bse.soplodr" <bse.soplodr@bseindia.com>, Jaikishan Pahlani <jaikishan.pahlani@bseindia.com>, Govinda Garg <govindagarg1@dcmshriram.com>

Dear Sir/Maam

We have filed the Annual Secretarial Compliance Report with BSE on 21-May-2024, i.e., within the prescribed timeline. The filing proof is attached herewith for your ready reference.

We tried calling you on the given phone numbers, but could not get through. Kindly let us know a suitable time to call, or you may kindly reach out to me at 9810606461.

Kindly acknowledge the receipt of this email.

Regards

Swati Patil Lahiri

Acting Company Secretary & Compliance Officer

General Manager (Corporate Laws)

DCM Shriram Ltd.

P 91-11-42100122 E swatilahiri@dcmshriram.comW www.dcmshriram.com

2nd Floor (West Wing), World Mark 1, Aerocity, New Delhi

India - 110037 | Tel: +91 11 42100200



On Fri, Jun 28, 2024 at 6:31 PM Sonali Kurhade <Sonali.Kurhade@bseindia.com> wrote:

Ref.: SOP-CReview- 28.06.2024

To

The Company Secretary/Compliance Officer

Company Name: DCM Shriram Ltd**Scrip Code: 523367**

Dear Sir/Madam,

Sub: Fines as per SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance).

The company is advised to refer to the Master Circular No. **SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance)**, issued by SEBI (SEBI) with respect to penal actions prescribed for non-compliance of certain provisions of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Standard and revocation of trading of specified securities of listed entities.

The Exchange had also issued a guidance note regarding the provisions of the said SEBI circular which is disseminated on the Exchange website at the following link:

https://www.bseindia.com/downloads1/Guidance_Note_for_SEBI_SOP_Circular.pdf

In this regard it is observed that the company is non-compliant/late compliant with the following Regulations for the period mentioned below:

Applicable Regulation of SEBI (LODR) Regulations, 2015	Fine prescribed (*)	Fines levied for	Fine payable by the company (inclusive of GST @ 18 %) as on June 28,2024			Compliance status
			Basic Fine	GST @ 18 %	Total Fine payable	
Regulation 33 Non-submission of the financial results within the period prescribed under this regulation	Rs. 5,000/- per day till the date of compliance.	(March 2024 quarter/year)	0	0	0	

Regulation 23 (9) Non-compliance with disclosure of related party transactions on consolidated basis.	Rs. 5,000/- per day till the date of compliance.	For the half year ended March 2024	0	0	0		
Regulation 24A Non-compliance with submission of secretarial compliance report	Rs. 2,000/- per day till the date of compliance.	(For the year ended March 2024)	30000	5400	35400	Late Submission	
		Total Fine	30000	5400	35400		

(*)As per the provisions of the circular the fines will continue to be computed further till the time of rectification of the non-compliance to the satisfaction of the Exchange suspended from trading for non-compliance with aforesaid provisions.

The Company is therefore advised to note that as per the provisions of this circular:

- The company is required to ensure compliance with above regulation and ensure to pay the aforesaid fines including GST within 15 days from the date of this letter/email, **faili the provisions of the aforesaid circular, initiate action related to freezing of the entire shareholding of the promoter in this entity as well as all other securities held in the company is advised to bring the provisions of this Circular to the notice of promoter of the company.**
- Further in the event of this being the second consecutive quarter of non-compliance for the Regulation 33 would result in the company being transferred to Z group and liable shares.
- The company is also advised to ensure that the subject matter of non-compliance which has been identified and indicated by the Exchange and any subsequent action taken is placed before the Board of Directors of the company in its next meeting. Comments made by the board shall be duly informed to the Exchange for dissemination.

(A signed scanned copy of the financial results along with the covering letter can be uploaded on the following link of Listing Centre: <http://listing.bseindia.com>. For assistance in to contact helpdesk on Tel. No. 022-61363155 or email id: listing.centre@bseindia.com.

Kindly refer to Exchange notice No. 20210331-2 dated March 31, 2021 for mode of filing of Annual Secretarial Compliance Report.

In case of any further queries / clarifications please email at the following ids:

Regulation	Contact person	Contact Number	Email Id
Reg. 33/23(9)	Mr. Harshad Naik	022-22725650	Harshad.Naik@bseindia.com bse.soplodr@bseindia.com
Reg.24(A)	Mr. Jaikishan Pahlani	022-22728475	jaikishan.pahlani@bseindia.com

Yours faithfully

Sambhaji Solat
Senior Manager

Sonali Kurhade
Associate Manager

Listing Compliance

Listing Compliance

Company is requested to remit the fine amount through electronic transfer to the designated bank - details given below:

Company Name	DCM Shriram Ltd		
Bank Name	Branch Name	Account No.	IFSC Code
ICICI Bank Limited	CMS Branch	BSER00706	ICIC0000104

or through cheque favoring **BSE Ltd.** The company is required to submit the cheque alongwith the covering letter (format given at Annexure I below):

Annexure-I (On letterhead of the company)

Listing Compliance, BSE Limited, Ground Floor,

P. J. Towers, Fort, Mumbai-400001.

Sub: Details of Payment of fines for Non-Compliance with Regulations of SEBI (LODR) Regulations, 2015.

Remittance details:

Scrip Code	Regulation & Quarter	Amount paid	TDS deducted, if any	Net Amount paid	GST No. (Mandatory to upload on BSE Listing Centre) (*)

***(In case GSTin No of company is not uploaded on the Listing Centre or Declaration for Unregistered Dealer is not received by us, company can not ck invoices raised by us.)**

Remitted by:

Cheque/DD No.	Date	UTR No. for RTGS /NEFT
-	-	-

Compliance Officer / Company Secretary.

- Please mention the Regulation No., Quarter, and amount of TDS deducted on the reverse side of the Cheque/Demand Draft.
- In case of payment through RTGS/NEFT, you are requested to send a soft copy of this annexure to bse.soplodr@bseindia.com

Thanks and Regards,

Sonali Nikesh Kurhade

Associate Manager – Listing Compliance

BSE Limited, P J Towers, Dalal Street, Mumbai -400001, India

T:22728063, www.bseindia.com



7/3/24, 6:11 PM

DCM Shriram Ltd, Mail - Re: 523367-Fines as per SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapt...

DISCLAIMER : The contents of this message may be legally privileged and confidential and are for the use of the intended recipient(s) only. It should not be read, copied and used by anyone. If you have received this message in error, please immediately notify the sender, preserve its confidentiality and delete it. Before opening any attachments please check them for viruses and defects. If you have received this message in error, please immediately notify the sender, preserve its confidentiality and delete it. Before opening any attachments please check them for viruses and defects.

 **BSE Proof (2).pdf**
2K

Date & Time of Download : 21/05/2024 16:53:00

BSE ACKNOWLEDGEMENT

Acknowledgement Number	7305582
Date and Time of Submission	5/21/2024 4:52:45 PM
Scripcode and Company Name	523367 - DCM Shriram Limited
Subject / Compliance Regulation	Compliances-Reg.24(A)-Annual Secretarial Compliance
Submitted By	Swati Patil Lahiri
Designation	Designated Officer for Filing

Disclaimer : - Contents of filings has not been verified at the time of submission.



Swati Patil Lahiri <swatilahiri@dcmshriram.com>

Re: 523367-Fines as per SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance).

1 message

Swati Patil Lahiri <swatilahiri@dcmshriram.com>
 To: Sonali Kurhade <Sonali.Kurhade@bseindia.com>
 Cc: "bse.soplodr" <bse.soplodr@bseindia.com>, Jaikishan Pahlani <jaikishan.pahlani@bseindia.com>, Govinda Garg <govindagarg1@dcmshriram.com>

Sir/Madam

Kindly refer to your SOP related email sent to us on 28-Jun-2024 and our reply sent on the same day, as per trailing email below. We are yet to receive any acknowledgement or r

We tried calling on the landline numbers provided but have not been able to connect.

We are awaiting your further guidance/advice on the matter to take further action.

Regards

Swati Patil Lahiri
 Acting Company Secretary & Compliance Officer
 General Manager (Corporate Laws)
 DCM Shriram Ltd.

P 91-9810606461 E swatilahiri@dcmshriram.com

W www.dcmshriram.com

2nd Floor (West Wing), World Mark 1, Aerocity, New Delhi
 India - 110037 | Tel: +91 11 42100200



On Fri, Jun 28, 2024 at 7:48 PM Swati Patil Lahiri <swatilahiri@dcmshriram.com> wrote:

Dear Sir/Maam

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Kindly acknowledge the receipt of this email.

Regards

Swati Patil Lahiri
 Acting Company Secretary & Compliance Officer
 General Manager (Corporate Laws)
 DCM Shriram Ltd.

P 91-11-42100122 E swatilahiri@dcmshriram.com

W www.dcmshriram.com

2nd Floor (West Wing), World Mark 1, Aerocity, New Delhi
 India - 110037 | Tel: +91 11 42100200



On Fri, Jun 28, 2024 at 6:31 PM Sonali Kurhade <Sonali.Kurhade@bseindia.com> wrote:

Ref.: SOP-CReview- 28.06.2024

To

The Company Secretary/Compliance Officer

Company Name: DCM Shriram Ltd

Scrip Code: 523367

Dear Sir/Madam,

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and revocation of trading of specified securities of listed entities.

The Exchange had also issued a guidance note regarding the provisions of the said SEBI circular which is disseminated on the Exchange website at the following link:

https://www.bseindia.com/downloads1/Guidance_Note_for_SEBI_SOP_Circular.pdf

In this regard it is observed that the company is non-compliant/late compliant with the following Regulations for the period mentioned below:

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Regulation 24A Non-compliance with submission of secretarial compliance report	Rs. 2,000/- per day till the date of compliance.	(For the year ended March 2024)	30000	5400	35400	Late Submission
		Total Fine	30000	5400	35400	

(*As per the provisions of the circular the fines will continue to be computed further till the time of rectification of the non-compliance to the satisfaction of the Exchange suspended from trading for non-compliance with aforesaid provisions.

The Company is therefore advised to note that as per the provisions of this circular:

- The company is required to ensure compliance with above regulation and ensure to pay the aforesaid fines including GST within 15 days from the date of this letter/email, for the provisions of the aforesaid circular, initiate action related to freezing of the entire shareholding of the promoter in this entity as well as all other securities held in the company is advised to bring the provisions of this Circular to the notice of promoter of the company.
- Further in the event of this being the second consecutive quarter of non-compliance for the Regulation 33 would result in the company being transferred to Z group and liab shares.
- The company is also advised to ensure that the subject matter of non-compliance which has been identified and indicated by the Exchange and any subsequent action taken placed before the Board of Directors of the company in its next meeting. Comments made by the board shall be duly informed to the Exchange for dissemination.

(A signed scanned copy of the financial results along with the covering letter can be uploaded on the following link of Listing Centre: <http://listing.bseindia.com>. For assistance in contact helpdesk on Tel. No. 022-61363155 or email id: listing.centre@bseindia.com.

Kindly refer to Exchange notice No. 20210331-2 dated March 31, 2021 for mode of filing of Annual Secretarial Compliance Report.

In case of any further queries / clarifications please email at the following ids:

Regulation	Contact person	Contact Number	Email Id
Reg. 33/23(9)	Mr. Harshad Naik	022-22725650	Harshad.Naik@bseindia.com bse.soplodr@bseindia.com
Reg.24(A)	Mr. Jaikishan Pahlani	022-22728475	jaikishan.pahlani@bseindia.com

Yours faithfully

Sambhaji Solat
Senior Manager
Listing Compliance

Sonali Kurhade
Associate Manager
Listing Compliance

Company is requested to remit the fine amount through electronic transfer to the designated bank - details given below:

Company Name	DCM Shriram Ltd		
Bank Name	Branch Name	Account No.	IFSC Code
ICICI Bank Limited	CMS Branch	BSER00706	ICIC0000104

or through cheque favoring BSE Ltd. The company is required to submit the cheque alongwith the covering letter (format given at Annexure I below):

Annexure-I (On letterhead of the company)

Listing Compliance, BSE Limited, Ground Floor,
P. J. Towers, Fort, Mumbai-400001.

Sub: Details of Payment of fines for Non-Compliance with Regulations of SEBI (LODR) Regulations, 2015.

Remittance details:

Scrip Code	Regulation & Quarter	Amount paid	TDS deducted, if any	Net Amount paid	GST No. (Mandatory to upload on BSE Listing Centre) (*)

****(In case GSTin No of company is not uploaded on the Listing Centre or Declaration for Unregistered Dealer is not received by us, company can not raise invoices raised by us.)***

Remitted by:

Cheque/DD No.	Date	UTR No. for RTGS /NEFT
-	-	-

Compliance Officer / Company Secretary.

- Please mention the Regulation No., Quarter, and amount of TDS deducted on the reverse side of the Cheque/Demand Draft.
- In case of payment through RTGS/NEFT, you are requested to send a soft copy of this annexure to bse.soplodr@bseindia.com

Thanks and Regards,

Sonali Nikesh Kurhade

Associate Manager – Listing Compliance

BSE Limited, P J Towers, Dalal Street, Mumbai -400001, India

T:22728063, www.bseindia.com



This mail is classified as 'BSE - PUBLIC' by sonali.kurhade on June 28, 2024 at 18:43:46.

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message in error, please immediately notify the sender, preserve its confidentiality and delete it. Before opening any attachments please check them for viruses and defects.

Date & Time of Download : 21/05/2024 16:53:00

BSE ACKNOWLEDGEMENT

Acknowledgement Number	7305582
Date and Time of Submission	5/21/2024 4:52:45 PM
Scripcode and Company Name	523367 - DCM Shriram Limited
Subject / Compliance Regulation	Compliances-Reg.24(A)-Annual Secretarial Compliance
Submitted By	Swati Patil Lahiri
Designation	Designated Officer for Filing

Disclaimer : - Contents of filings has not been verified at the time of submission.

The screenshot shows the NSE NEAPS (National Electronic Application Processing System) interface. The user is logged in as DCM Shriram Limited. The main menu includes HOME, MASTER, ISSUE, COMPLIANCE, PAYMENT, REPORT, HELP, DEBT, and VALUE ADDED SERVICES. The current page is 'Common XBRL Upload' under the 'COMPLIANCE' section.

The main form contains the following fields:

- Symbol: DCMSHIRIRAM
- Company Name: DCM Shriram Limited
- Financial Year From: 01-Apr-2023
- Financial Year To: 31-Mar-2024
- Type of submission: New

A modal window titled 'XBRL Upload' is open, showing 'Upload XBRL' with a 'Select File' button. Below the modal, a green message states 'File uploaded Successfully'. A button labeled 'Upload XBRL File' is visible at the bottom of the modal area.

Note:

- It is advised that latest Excel Utility should be used every time for XBRL Filing.
- Click on respective Excel Utility hyperlink to download. Please refer the detailed instructions available in the utility.

Download Offline Excel Utilities

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Please note that the contact details of the entities and its Officials, registered on the NEAPS portal, may be used by the Exchange to send various communication viz. Regulatory updates, Acknowledgements, Approvals etc., from time to time, either individually or on bulk-basis.

The Windows taskbar at the bottom shows the date as 21-05-2024, time as 16:49, and weather as 41°C Haze.



Swati Patil Lahiri <swatilahiri@dcmshriram.com>

Discrepancies in ASCR Report filed under Regulation 24A of SEBI (LODR) Regulation, 2015

1 message

Query lodr <query.lodr@bseindia.com>

Fri, Jun 14, 2024 at 12:30 PM

To: "sameetgambhir@dcmshriram.com" <sameetgambhir@dcmshriram.com>

To

The Company Secretary / Compliance Officer

DCM Shriram Ltd (523367)

Sub: Discrepancies in ASCR Report filed under Regulation 24A of SEBI (LODR) Regulation, 2015

Dear Sir/Madam,

As per the provisions of Regulation 24A (2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, every listed entity shall submit a secretarial compliance report in such form as specified, to stock exchanges, within sixty days from end of each financial year.

Further, BSE vide its Circular No. 20230410-41 dated April 10, 2023 informed to all listed companies about additional affirmations by Practicing Company Secretaries (PCS) in Annual Secretarial Compliance Report (ASCR) and attach a detailed annexure mentioning the additional affirmations to be provided while submitting ASCR. This circular is effective from the financial year ended March 31, 2023 onwards. The Company should submit ASCR in new format to the Exchange.

In this regard, following discrepancy has been observed by the Exchange in the Annual Secretarial Compliance Report filed by your Company for the year ended March 31, 2024:

Discrepancy:**The Company has submitted Annual Secretarial Compliance Report in PDF file only. Kindly submit the ASCR in XBRL format also.**

-

Date of Announcement (mm/dd/yyyy) : 5/21/2024 4:52:48 PM

Link of Announcement : <https://www.bseindia.com/stockinfo/AnnPdfOpen.aspx?Pname=8f423cf3-194c-467e-a21b-1efc78e7689c.pdf>

Considering the aforesaid, the Company is hereby advised to rectify the aforesaid discrepancy and upload Annual Secretarial Compliance Report in XBRL format through BSE Listing Centre immediately.

Yours faithfully,

Listing Compliance Monitoring Team

BSE Limited

Mumbai

This mail is classified as 'CONFIDENTIAL' by vinay.bp on June 14, 2024 at 12:30:45.

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Swati Patil Lahiri <swatilahiri@dcmshriram.com>

Re: Discrepancies in ASCR Report filed under Regulation 24A of SEBI (LODR) Regulation, 2015

1 message

Swati Patil Lahiri <Swatilahiri@dcmshriram.com>

Fri, Jun 14, 2024 at 2:26 PM

To: Query lodr <query.lodr@bseindia.com>

Cc: Vipul Jain <vipuljain@dcmshriram.com>, Govinda Garg <govindagarg1@dcmshriram.com>, "Rahul Gautam (Corp.Office)" <rahulgautam@dcmshriram.com>

Dear Sirs

We have uploaded the XBRL of Annual Secretarial Compliance Report on BSE portal.

Acknowledgement is attached herewith for your kind reference.

Regards

Swati Patil LahiriActing Company Secretary & Compliance Officer
General Manager (Corporate Laws)**DCM Shriram Ltd.**P 91-11-42100122 E swatilahiri@dcmshriram.comW www.dcmshriram.com2nd Floor (West Wing), World Mark 1, Aerocity, New Delhi
India - 110037 | Tel: +91 11 42100200On Fri, Jun 14, 2024 at 12:30 PM Query lodr <query.lodr@bseindia.com> wrote:

To

The Company Secretary / Compliance Officer

DCM Shriram Ltd (523367)

Sub: Discrepancies in ASCR Report filed under Regulation 24A of SEBI (LODR) Regulation, 2015

Dear Sir/Madam,

As per the provisions of Regulation 24A (2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, every listed entity shall submit a secretarial compliance report in such form as specified, to stock exchanges, within sixty days from end of each financial year.

Further, BSE vide its Circular No. 20230410-41 dated April 10, 2023 informed to all listed companies about additional affirmations by Practicing Company Secretaries (PCS) in Annual Secretarial Compliance Report (ASCR) and attach a detailed annexure mentioning the additional affirmations to be provided while submitting ASCR. This circular is effective from the financial year ended March 31, 2023 onwards. The Company should submit ASCR in new format to the Exchange.

In this regard, following discrepancy has been observed by the Exchange in the Annual Secretarial Compliance Report filed by your Company for the year ended March 31, 2024:

Discrepancy:

The Company has submitted Annual Secretarial Compliance Report in PDF file only. Kindly submit the ASCR in XBRL format also.

-

Date of Announcement (mm/dd/yyyy) : 5/21/2024 4:52:48 PM

Link of Announcement : <https://www.bseindia.com/stockinfo/AnnPdfOpen.aspx?Pname=8f423cf3-194c-467e-a21b-1efc78e7689c.pdf>

Considering the aforesaid, the Company is hereby advised to rectify the aforesaid discrepancy and upload Annual Secretarial Compliance Report in XBRL format through BSE Listing Centre immediately.

Yours faithfully,

Listing Compliance Monitoring Team

BSE Limited

Mumbai

This mail is classified as '**CONFIDENTIAL**' by vinay.bp on June 14, 2024 at 12:29:53.

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 **BSE Acknowledgement - XBRL Filing.pdf**
47K

BSE LTD
ACKNOWLEDGEMENT

Acknowledgement No	: 1406202401393424	Date & Time	: 14/06/2024 01:39:34 PM
Scrip Code	: 523367		
Entity Name	: DCM SHRIRAM LIMITED		
Compliance Type	: Annual Secretarial Compliance Report (ASCR)		
Date of Report	: 20/05/2024		
Mode	: E-Filing		